## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshihiko GOTOH et al.

Serial No.: Currently unknown

Filing Date: Concurrently herewith

For: PIEZOELECTRIC FILTER, DUPLEXER,
COMPOSITE PIEZOELECTRIC RESONATOR,
COMMUNICATION DEVICE AND METHOD FOR
ADJUSTING FREQUENCY OF PIEZOELECTRIC

**FILTER** 

## INFORMATION DISCLOSURE STATEMENT

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit copies of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449), including an English abstract. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Information Disclosure Statement July 18, 2003 Page 2 of 2

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: July 18, 2003

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 

Complete if Known

Application Number

Filing Date

First Named Inventor Yoshihiko GOTOH

Art Unit

Examiner Name

Attorney Docket Number 36856.1103

U.S. PATENT DOCUMENTS										
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
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		FO	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
-	1	JP 2002-217663	08/2002	JAPAN		X
	2	JP 2001-196882	07/2001	JAPAN		X
	3	JP 2001-177363	06/2001	JAPAN		X
	4	JP 10-154916	06/1998	JAPAN		X
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Examiner	Date	
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<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.